

# Partition regularity for systems of Diophantine equations

Jonathan Chapman



The University of Manchester

September 2020

# Partition regularity for linear equations

Consider a finite colouring of the positive integers

$$\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r.$$

What monochromatic structures are guaranteed to exist?

Theorem (Schur, 1916)

*For some  $i$ , there exist  $x, y, z \in C_i$  such that  $x + y = z$ .*

Theorem (Van der Waerden, 1927)

*For some  $i$ , the set  $C_i$  contains arbitrarily long arithmetic progressions  $\{x, x + d, \dots, x + (L - 1)d\}$  ( $d > 0$ ).*

# Partition regularity for linear equations

Consider a finite colouring of the positive integers

$$\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r.$$

What monochromatic structures are guaranteed to exist?

Theorem (Schur, 1916)

*For some  $i$ , there exist  $x, y, z \in C_i$  such that  $x + y = z$ .*

Theorem (Van der Waerden, 1927)

*For some  $i$ , the set  $C_i$  contains arbitrarily long arithmetic progressions  $\{x, x + d, \dots, x + (L - 1)d\}$  ( $d > 0$ ).*

One can further show that there exists a colour class  $C_i$  which simultaneously satisfies the conclusions of both Schur and van der Waerden's theorems. We leave this as an exercise for the interested reader.

# Partition regularity for linear equations

## Definition (Partition regularity)

A system of equations is called *partition regular* if, for every finite colouring  $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ , there exists a solution  $(x_1, \dots, x_s) \in C_i^s$  for some  $i \in \{1, \dots, r\}$ .

A foundational result of arithmetic Ramsey theory is Rado's criterion for partition regularity of linear systems.

## Theorem (Rado, 1933)

Let  $a_1, \dots, a_s \in \mathbb{Z} \setminus \{0\}$ . The equation

$$a_1x_1 + \dots + a_sx_s = 0$$

is *partition regular* if and only if there exists some non-empty set  $I \subseteq \{1, \dots, s\}$  such that  $\sum_{i \in I} a_i = 0$ .

This latter condition is equivalent to the assertion that the equation has a non-zero solution over  $\{0, 1\}^s$ .

# Examples of equations which are not partition regular

## Example 1

Consider the equation

$$y = 2x.$$

Let  $C_1$  (respectively  $C_2$ ) denote the set of positive integers with an odd (respectively even) number of 2's in their prime factorisation. Under this colouring, there are no monochromatic solutions to the above equation.

## Example 2

Rado's theorem implies that the equation

$$x + y = 4z$$

is not partition regular. An example of a 3-colouring with no monochromatic solutions to this equation is given by

$$\mathbb{N} = \{1\} \cup \{2, 3\} \cup \{4, 5, 6, 7\} \cup \{8, \dots, 15\} \cup \{16, \dots, 31\} \cup \dots$$

That is,  $x \in C_i$  if and only if  $2^n \leq x < 2^{n+1}$  with  $n \equiv i \pmod{3}$ .

# Non-linear open problems (squares)

## Conjecture (Erdős-Graham, 1980)

*The Pythagorean equation  $x^2 + y^2 = z^2$  is partition regular.*

## Conjecture (Gyarmati-Ruzsa, 2012)

*The equation  $x^2 + y^2 = 2z^2$  is non-trivially<sup>1</sup> partition regular. (Equivalently, every finite colouring of the squares produces monochromatic 3APs).*

## Conjecture (Folklore)

*Let  $a_1, \dots, a_s \in \mathbb{Z} \setminus \{0\}$ . The diagonal quadric*

$$a_1x_1^2 + \dots + a_sx_s^2 = 0$$

*is non-trivially partition regular if and only if  $s \geq 3$  and there exists some non-empty  $I \subseteq \{1, \dots, s\}$  such that  $\sum_{i \in I} a_i = 0$ .*

---

<sup>1</sup>i.e. there exists a monochromatic solution  $(x_1, \dots, x_s)$  with  $x_i$  all distinct.

## Recent progress

### Theorem (Bergelson, 1996)

*The equation  $x - y = Mz^2$  is partition regular for all  $\lambda \in \mathbb{N}$ .*

### Theorem (Csikvári-Gyarmati-Sárközy, 2012)

*The equation  $x + y = z^2$  is **not** non-trivially partition regular.*

### Theorem (Moreira, 2017)

*The equation  $x^2 - y^2 = Mz$  is partition regular for all  $\lambda \in \mathbb{N}$ .*

### Theorem (Chow-Lindqvist-Prendiville, 2018)

*The equation  $x_1^2 + x_2^2 + x_3^2 + x_4^2 = x_5^2$  is partition regular.*

---

## Recent progress

### Theorem (Bergelson, 1996)

*The equation  $x - y = Mz^2$  is partition regular for all  $\lambda \in \mathbb{N}$ .*

### Theorem (Csikvári-Gyarmati-Sárközy, 2012)

*The equation  $x + y = z^2$  is **not** non-trivially partition regular.*

### Theorem (Moreira, 2017)

*The equation  $x^2 - y^2 = Mz$  is partition regular for all  $\lambda \in \mathbb{N}$ .*

### Theorem (Chow-Lindqvist-Prendiville, 2018)

*The equation  $x_1^2 + x_2^2 + x_3^2 + x_4^2 = x_5^2$  is partition regular.*

### Theorem (Heule-Kullmann-Marek<sup>2</sup>, 2016)

*The largest  $N$  for which there exists a two colouring  $\{1, \dots, N\} = C_1 \cup C_2$  with no monochromatic solution to  $x^2 + y^2 = z^2$  is  $N = 7824$ .*

<sup>2</sup>Computer assisted proof of size  $\approx 200\text{Tb!}$

## Non-linear open problems (higher powers)

Formulating similar conjectures for  $k$ th power equations with  $k \geq 3$  is complicated by number theoretic obstructions. This is because it is generally very difficult to determine whether a Diophantine equation has non-trivial solutions.

### Example (Wiles, 1995)

The equation  $x^k + y^k = z^k$  obeys Rado's condition, however, for all  $k \geq 3$ , this equation has no solutions in positive integers.

### Problem

For  $k \geq 3$ , determine sufficient conditions for an equation of the form

$$a_1x_1^k + \cdots + a_sx_s^k = 0$$

to be partition regular.

## Rado's criterion for squares and higher powers

By using tools from analytic number theory and harmonic analysis (in particular, the Hardy-Littlewood circle method) Chow, Lindqvist, and Prendiville obtained necessary and sufficient conditions for partition regularity for  $k$ th power equations in sufficiently many variables.

### Theorem (Chow-Lindqvist-Prendiville, 2018)

Let  $k \in \mathbb{N}$ . There exists a quantity  $s_0(k)$  such that the following is true for all  $s \geq s_0(k)$ . The diagonal equation

$$a_1x_1^k + \cdots + a_sx_s^k = 0$$

is non-trivially partition regular if and only if there exists some non-empty  $I \subseteq \{1, \dots, s\}$  such that  $\sum_{i \in I} a_i = 0$ .

One may take  $s_0(1) = 3$ ,  $s_0(2) = 5$ ,  $s_0(3) = 8$ , and in general

$$s_0(k) = k(\log k + \log \log k + 2 + O(\log \log k / \log k)).$$

## Rado's criterion for systems

Consider a system of  $n$  homogeneous linear equations in  $s$  variables:

$$a_{1,1}x_1 + \cdots + a_{1,s}x_s = 0;$$

$$\vdots$$

$$a_{n,1}x_1 + \cdots + a_{n,s}x_s = 0.$$

Observe that if this system is PR, then so is any equation which can be formed by taking linear combinations of these equations.

### Example

Consider the pair of equations

$$x + y = z;$$

$$x + y = 2w.$$

Individually, each of these two equations is partition regular. However, this system is **not** partition regular since solutions to this system must satisfy  $z = 2w$ , which is not partition regular.

## Rado's criterion for systems

Rado showed that checking partition regularity for systems of equations can be reduced to verifying partition regularity for all linear combinations.

### Theorem (Rado, 1933)

Let  $\mathbf{A} = (a_{i,j})$  be a non-zero  $n \times s$  integer matrix. The system

$$a_{1,1}x_1 + \cdots + a_{1,s}x_s = 0;$$

$$\vdots$$

$$a_{n,1}x_1 + \cdots + a_{n,s}x_s = 0$$

is partition regular if and only if every equation which can be formed by taking linear combinations of these equations is partition regular (or, equivalently, every equation defined by a non-zero vector from the row space of  $\mathbf{A}$  is partition regular).

## Partition regularity for non-linear systems

We now turn to the subject of generalising Rado's theorem to systems of  $k$ th power equations

$$a_{1,1}x_1^k + \cdots + a_{1,s}x_s^k = 0;$$

⋮

$$a_{n,1}x_1^k + \cdots + a_{n,s}x_s^k = 0.$$

As with linear systems, a necessary condition for partition regularity is that every linear combination of these equations is partition regular. Furthermore, it is necessary that the underlying linear system (replacing each  $x_i^k$  with  $x_i$ ) is partition regular.

## Partition regularity for non-linear systems

However, these conditions are not sufficient in general.

### Example (Failure of van der Waerden for higher powers)

Let  $n \geq 3$ . Consider the system of equations

$$\begin{aligned}x_1^k + x_3^k &= 2x_2^k; \\ &\vdots \\ x_{n-2}^k + x_n^k &= 2x_{n-1}^k.\end{aligned}$$

Note that  $(x_1^k, \dots, x_n^k)$  is an arithmetic progression of length  $n$  in  $k$ th powers. However, it was proved by Euler that there are no non-trivial 4APs in squares, and so this system is not non-trivially partition regular for  $k = 2$  and  $n \geq 4$ .

Furthermore, it was shown by Darmon and Merel (1997) that there are no non-trivial 3APs in  $k$ th powers for  $k \geq 3$ , and so this system is also not non-trivially partition regular for  $k \geq 3$  and  $n \geq 3$ .

# Partition regularity for non-linear systems

However, these conditions are not sufficient in general.

## Example (No Brauer configurations in higher powers)

Consider the pair of equations

$$x^2 + z^2 = 2y^2;$$

$$x^2 + t^2 = y^2.$$

Note that  $(x^2, y^2, z^2)$  is a 3AP with common difference  $t^2$ . However, a classical result of Fermat proves that every non-trivial 3AP in squares has non-square common difference. Thus, this system has no solutions over  $\mathbb{N}$ , and is therefore not partition regular.

Moreover, by the previous slide, the corresponding pair of equations for  $k$ th powers with  $k \geq 3$  is also not partition regular.

## Rado's criterion for non-linear systems

Assuming that the coefficient matrix is suitably non-singular, we can extend the results of Chow-Lindqvist-Prendiville to systems.

### Theorem (C., 2020+)

Let  $k \in \mathbb{N}$ , and let  $\mathbf{A} = (a_{i,j})$  be a non-zero  $n \times s$  integer matrix. Suppose that the following condition holds:

- (\*) Given any  $d \in \{1, \dots, n\}$  and linearly independent vectors  $\mathbf{v}^{(1)}, \dots, \mathbf{v}^{(d)}$  in the row space of  $\mathbf{A}$ , the  $d \times s$  matrix with rows  $\mathbf{v}^{(i)}$  has at least  $dk^2 + 1$  non-zero columns.

Then the system of equations

$$a_{1,1}x_1^k + \dots + a_{1,s}x_s^k = 0;$$

$$\vdots$$

$$a_{n,1}x_1^k + \dots + a_{n,s}x_s^k = 0$$

is partition regular if and only if the underlying linear system  $\mathbf{Ax} = \mathbf{0}$  is partition regular.

## Density regularity

One can also ask whether arithmetic structures are guaranteed to exist inside all 'sufficiently large' sets. This is formalised as follows:

### Definition (Density regularity)

A system of equations is called *density regular* if it has a non-trivial solution over every set  $A \subseteq \mathbb{N}$  with *positive upper density*, meaning that

$$\limsup_{N \rightarrow \infty} \frac{|A \cap \{1, 2, \dots, N\}|}{N} > 0.$$

Note that, by considering the largest colour class  $C_i$ , density regularity implies partition regularity.

### Theorem (Szemerédi, 1975)

Let  $\mathbf{A} = (a_{i,j}) \in \mathbb{Z}^{n \times s}$ . The system of equations  $\mathbf{A}\mathbf{x} = \mathbf{0}$  is density regular if and only if this system admits constant non-zero solutions or, equivalently, the columns of  $\mathbf{A}$  sum to  $\mathbf{0}$ .

# Density regularity for non-linear equations

As with partition regularity, there has been great interest in density regularity for non-linear systems.

## Theorem (Browning-Prendiville, 2017)

For all  $s \geq 5$  and  $a_1, \dots, a_s \in \mathbb{Z} \setminus \{0\}$ , the equation

$$a_1x_1^2 + \dots + a_sx_s^2 = 0$$

is density regular if and only if  $a_1 + \dots + a_s = 0$ .

## Theorem (Chow-Lindqvist-Prendiville, 2018)

Let  $k \in \mathbb{N}$ . For all  $s \geq k^2 + 1$ , the equation

$$a_1x_1^k + \dots + a_sx_s^k = 0$$

is non-trivially density regular if and only if  $a_1 + \dots + a_s = 0$ .

## Density regularity for non-linear systems

Our methods allow us to generalise these two theorems to systems of suitably non-singular diagonal equations.

### Theorem (C., 2020+)

Let  $k \in \mathbb{N}$ , and let  $\mathbf{A} = (a_{i,j})$  be a non-zero  $n \times s$  integer matrix. Suppose that the following condition holds:

- (\*) Given any  $d \in \{1, \dots, n\}$  and linearly independent vectors  $\mathbf{v}^{(1)}, \dots, \mathbf{v}^{(d)}$  in the row space of  $\mathbf{A}$ , the  $d \times s$  matrix with rows  $\mathbf{v}^{(i)}$  has at least  $dk^2 + 1$  non-zero columns.

Then the system of equations

$$a_{1,1}x_1^k + \dots + a_{1,s}x_s^k = 0;$$

$$\vdots$$

$$a_{n,1}x_1^k + \dots + a_{n,s}x_s^k = 0$$

is density regular if and only if the columns of  $\mathbf{A}$  sum to  $\mathbf{0}$ .

## Further reading

- ▶ **J. Chapman**, *Partition regularity for systems of diagonal equations*, preprint, arXiv:2003.10977.
- ▶ S. Chow, S. Lindqvist, and S. Prendiville, *Rado's criterion over squares and higher powers*, Journal of the European Mathematical Society, to appear. arXiv:1806.05002.

Thank you for your attention.